

Council/Agency Meeting Held: _____	_____ City Clerk's Signature
Deferred/Continued to: _____	
<input type="checkbox"/> Approved <input type="checkbox"/> Conditionally Approved <input type="checkbox"/> Denied	
Council Meeting Date:                      1/19/2010	Department ID Number:      CT 10-005

**CITY OF HUNTINGTON BEACH  
REQUEST FOR CITY COUNCIL ACTION**

**SUBMITTED TO:** HONORABLE MAYOR AND CITY COUNCIL MEMBERS

**SUBMITTED BY:** SHARI L. FREIDENRICH, CPA, CITY TREASURER *SFL*

**PREPARED BY:** SHARI L. FREIDENRICH, CPA, CITY TREASURER *SFL*

**SUBJECT:** REVIEW AND ACCEPT CITY TREASURER'S NOVEMBER 2009 INVESTMENT SUMMARY REPORT

Statement of Issue, Funding Source, Recommended Action, Alternative Action(s), Analysis, Environmental Status, Attachment(s)
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**Statement of Issue:** Review and accept the Monthly Investment Report for November 2009, pursuant to Section 17.0 of the Investment Policy of the City of Huntington Beach.

**Funding Source:** Not Applicable.

**Recommended Action:** Motion to: Review and accept the Monthly Investment Report. Following review of the report by motion of Council, accept the Monthly Investment Report for November 2009, pursuant to Section 17.0 of the Investment Policy of the City of Huntington Beach.

**Alternative Action(s):** Deny or critique monthly report.

**Analysis:** Not Applicable.

**Strategic Plan Goal:** Maintain and enhance our financial reserves. Improve internal and external communication.

**Environmental Status:** Not Applicable.

# REQUEST FOR CITY COUNCIL ACTION

MEETING DATE: 1/19/2010

DEPARTMENT ID NUMBER: CT 10-005

## Attachment(s):

City Clerk's Page Number	No.	Description
3	1.	Monthly Investment Report and Summary of Investments for November 2009
19	2.	Schedule of Bond Investments
21	3.	Deferred Compensation Plan Summary Information
23	4.	Retiree Medical Trust Investments
25	5.	Supplemental Pension Trust Investments
27	6.	November 2009 PowerPoint Presentation

# **ATTACHMENT #1**

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City of Huntington Beach  
 Summary of City Investment Portfolio, Bond Proceeds, Retiree Medical and Deferred Compensation  
 Activity for November 2009

<b>City Investment Portfolio:</b>	<b>October</b>	<b>November</b>	<b>Inc./(Dec.)</b>
End of month City Portfolio Balance	\$147,576,479	\$147,180,004	(\$396,475)
End of Month Market Value	\$148,661,927	\$148,538,136	(\$123,791)
End of month General Fund Balance	\$17,772,816	\$19,193,439	\$1,420,623
Monthly Effective Rate of Return	1.88%	1.87%	-0.01%
Average Maturity ( in days)	600	627	27
End of month bank cash balance	\$1,300,240	\$1,430,733	\$130,493

<b>Bond Proceeds Investments:*</b>	<b>September</b>	<b>October</b>	<b>Inc./(Dec.)</b>
End of Month Bond Reserve Par Value	\$13,302,264	\$13,660,853	\$358,589
End of Month Bond Reserve Market Value	\$13,302,264	\$13,660,853	\$358,589

<b>Deferred Compensation Investments:**</b>	<b>June</b>	<b>September</b>	<b>Inc./(Dec.)</b>
Participant Balances	\$69,976,764	\$76,056,784	\$6,080,020

<b>Retiree Medical Trust:**</b>	<b>June</b>	<b>September</b>	<b>Inc./(Dec.)</b>
Trust Balance	\$6,765,415	\$7,930,115	\$1,164,700

<b>Supplemental Pension Trust:***</b>	<b>June</b>	<b>September</b>	<b>Inc./(Dec.)</b>
Trust Balance	\$0	\$24,908,665	\$24,908,665

<b>Comparison to Budget / City Portfolio:</b>	<b>Budget</b>	<b>Actual</b>	<b>Inc./(Dec.)</b>
Interest Earned for Month	\$200,000	\$226,100	\$26,100
Interest Earned Year to Date	\$400,000	\$466,164	\$66,164
Earned Interest Yield Year to Date	1.50%	1.88%	0.38%
Average Daily Balance	\$160,000,000	\$148,613,808	(\$11,386,192)

\*Bond Proceeds Statements are issued monthly and may lag by more than one month.

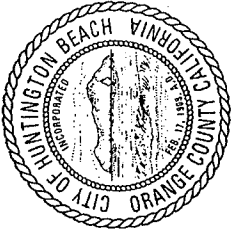
\*\*Deferred Compensation and Medical Retiree Statements are issued quarterly.

\*\*\*Funds Transferred from City to Trust in September

**Summary by Individual Investment in City Investment Portfolio:**

	<b>November</b>	<b>% of Total</b>	<b>Policy Limit</b>	<b>Days to Mat.</b>	<b>YTM Int. Rate</b>
Federal Agency Issues - Coupon	\$65,966,907	45%	None	1057	2.82%
Local Agency Inv. Fund (LAIF)	\$51,199,071	35%	\$60 million	1	0.61%
Medium Term Notes	\$27,163,001	18%	20%	830	2.01%
Money Market Acct	\$2,851,025	2%	10%	1	0.05%
	<b>\$147,180,004</b>	<b>100%</b>		<b>627</b>	<b>1.85%</b>

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City of Huntington Beach  
2000 Main St  
Huntington Beach

**City of HB  
Portfolio Management  
Portfolio Summary  
November 30, 2009**

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Federal Agency Issues - Coupon	66,000,000.00	66,835,780.00	65,966,907.36	44.82	1,362	1,057	2.782	2.821
Local Agency Investment Funds	51,199,070.84	51,199,070.84	51,199,070.84	34.79	1	1	0.603	0.611
Medium Term Notes	27,000,000.00	27,652,260.00	27,463,001.00	18.46	1,071	830	1.983	2.011
Money Market Acct	2,851,025.19	2,851,025.19	2,851,025.19	1.94	1	1	0.049	0.050
	<b>147,050,096.03</b>	<b>148,538,136.03</b>	<b>147,180,004.39</b>	<b>100.00%</b>	<b>809</b>	<b>627</b>	<b>1.824</b>	<b>1.849</b>

**Investments**

Cash and Accrued Interest								
Accrued Interest at Purchase		26,938.89	26,938.89					
Subtotal		26,938.89	26,938.89					
<b>Total Cash and Investments</b>	<b>147,050,096.03</b>	<b>148,565,074.92</b>	<b>147,206,943.28</b>		<b>809</b>	<b>627</b>	<b>1.824</b>	<b>1.849</b>

Total Earnings	November 30 Month Ending	Fiscal Year To Date	Fiscal Year Ending
Current Year	226,100.24	466,164.39	
Current Budget	200,000.00	400,000.00	2,400,000.00
Last Year Actual	524,584.00	1,083,065.00	5,107,095.00
<b>Average Daily Balance</b>	<b>146,822,868.24</b>	<b>148,613,808.46</b>	
<b>Effective Rate of Return</b>	<b>1.87%</b>	<b>1.88%</b>	

I certify that this report accurately reflects all City and Redevelopment Agency pooled investments and is in conformity with all State laws and the investment policy statement filed with the City Council on December 15, 2008. A copy of this policy is available at the office of the City Clerk. The investment program herein shown provides sufficient cash flow liquidity to meet the next six months obligations. Market Values provided by Union Bank via Interactive Data Corporation.

*Sheryl L. Freidenrich*  
SHARL L. FREIDENRICH, CPA, CITY TREASURER  
12-23-09

**City of HB  
Portfolio Management  
Portfolio Details - Investments  
November 30, 2009**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Rated	Moody's	YTM 365	Days to Maturity	Maturity Date
<b>Federal Agency Issues - Coupon</b>												
31331GSB6	3727	Federal Farm Credit Bank		04/09/2009	2,000,000.00	2,012,500.00	2,000,000.00	2.200	AAA	2.200	860	04/09/2012
31331GWW8	3751	Federal Farm Credit Bank		06/16/2009	2,000,000.00	2,011,880.00	1,981,018.96	1.800	AAA	2.198	902	05/21/2012
31331GL98	3780	Federal Farm Credit Bank		08/26/2009	2,000,000.00	2,036,880.00	2,000,000.00	3.150	AAA	3.150	1,729	08/26/2014
31331GS26	3796	Federal Farm Credit Bank		09/23/2009	2,000,000.00	2,024,380.00	2,000,000.00	2.350	AAA	2.350	1,392	09/23/2013
31331GR92	3797	Federal Farm Credit Bank		09/28/2009	2,000,000.00	2,018,120.00	2,000,000.00	1.850	AAA	1.850	1,032	09/28/2012
31331GGQ6	3799	Federal Farm Credit Bank		09/23/2009	2,000,000.00	2,001,880.00	2,011,238.80	3.000	AAA	2.713	744	12/15/2011
3133XLQ22	3546	Federal Home Loan Bank		07/20/2007	2,000,000.00	2,065,000.00	2,000,000.00	5.600	AAA	5.600	962	07/20/2012
3133XNPT0	3588	Federal Home Loan Bank		12/17/2007	2,000,000.00	2,003,760.00	2,000,000.00	4.500	AAA	4.500	1,112	12/17/2012
3133XNX46	3607	Federal Home Loan Bank		01/15/2008	2,000,000.00	2,009,380.00	2,000,000.00	4.200	AAA	4.200	1,141	01/15/2013
3133XNZC6	3610	Federal Home Loan Bank		01/29/2008	2,000,000.00	2,012,500.00	2,000,000.00	4.150	AAA	4.150	1,155	01/29/2013
3133XS7E2	3671	Federal Home Loan Bank		09/17/2008	2,000,000.00	2,057,500.00	2,000,000.00	4.150	AAA	4.150	1,386	09/17/2013
3133XTZ25	3756	Federal Home Loan Bank		06/22/2009	2,000,000.00	2,001,260.00	2,000,000.00	0.500	AAA	0.500	295	09/22/2010
3133XUNE9	3785	Federal Home Loan Bank		08/26/2009	2,000,000.00	2,050,000.00	2,000,000.00	2.750	AAA	2.750	1,357	08/19/2013
3133XUTD5	3795	Federal Home Loan Bank		09/24/2009	2,000,000.00	2,008,760.00	2,000,000.00	2.000	AAA	2.000	1,028	09/24/2012
3133XVHT1	3800	Federal Home Loan Bank		11/10/2009	2,000,000.00	2,010,620.00	2,000,000.00	1.750	AAA	1.750	983	08/10/2012
3133XVHP9	3801	Federal Home Loan Bank		11/16/2009	2,000,000.00	2,006,260.00	2,000,000.00	1.250	AAA	1.250	1,081	11/16/2012
3128X7NF7	3644	Federal Home Loan Mort Corp		05/05/2008	2,000,000.00	2,052,700.00	1,998,886.67	3.375	AAA	3.438	339	11/05/2010
3128X7JK1	3646	Federal Home Loan Mort Corp		05/06/2008	2,000,000.00	2,072,760.00	1,994,044.86	3.250	AAA	3.480	499	04/14/2011
3128X7SK1	3650	Federal Home Loan Mort Corp		05/27/2008	2,000,000.00	2,028,620.00	2,000,000.00	3.250	AAA	3.250	542	05/27/2011
3128X7SV7	3655	Federal Home Loan Mort Corp		05/23/2008	2,000,000.00	2,058,800.00	1,983,695.01	3.700	AAA	4.002	1,088	11/23/2012
3128X8TZ5	3725	Federal Home Loan Mort Corp		04/08/2009	2,000,000.00	2,035,360.00	2,000,000.00	2.500	AAA	2.500	1,224	04/08/2013
3128X8XB3	3729	Federal Home Loan Mort Corp		04/22/2009	2,000,000.00	2,014,120.00	2,000,000.00	1.000	AAA	1.100	1,603	04/22/2014
3128X8XV9	3730	Federal Home Loan Mort Corp		04/27/2009	2,000,000.00	2,010,620.00	2,000,000.00	1.750	AAA	1.750	603	07/27/2011
3128X8SD9	3754	Federal Home Loan Mort Corp		06/30/2009	2,000,000.00	2,030,100.00	2,000,000.00	3.000	AAA	3.000	1,672	06/30/2014
3128X9DS6	3786	Federal Home Loan Mort Corp		09/15/2009	2,000,000.00	2,016,400.00	2,000,000.00	3.500	AAA	3.500	1,749	09/15/2014
3136F8YV9	3587	Fed. Nat'l Mort. Assoc.		12/14/2007	2,000,000.00	2,002,500.00	2,000,000.00	4.600	AAA	4.600	1,109	12/14/2012
31398AQN5	3639	Fed. Nat'l Mort. Assoc.		04/28/2008	2,000,000.00	2,023,120.00	1,999,387.50	3.050	AAA	3.128	148	04/28/2010
3136F9MN0	3643	Fed. Nat'l Mort. Assoc.		04/29/2008	2,000,000.00	2,093,120.00	1,998,635.56	4.000	AAA	4.022	1,245	04/29/2013
3136F94M2	3714	Fed. Nat'l Mort. Assoc.		01/27/2009	2,000,000.00	2,018,760.00	2,000,000.00	2.000	AAA	2.000	787	01/27/2012
3136FH7H2	3781	Fed. Nat'l Mort. Assoc.		08/24/2009	2,000,000.00	2,005,620.00	2,000,000.00	1.800	AAA	1.800	815	02/24/2012
3136FJBM2	3788	Fed. Nat'l Mort. Assoc.		09/15/2009	2,000,000.00	2,015,620.00	2,000,000.00	3.000	AAA	3.000	1,749	09/15/2014
3136FJCJ8	3794	Fed. Nat'l Mort. Assoc.		09/17/2009	2,000,000.00	2,017,500.00	2,000,000.00	2.070	AAA	2.064	1,112	12/17/2012
3136FJQF1	3803	Fed. Nat'l Mort. Assoc.		11/05/2009	2,000,000.00	2,009,380.00	2,000,000.00	1.150	AAA	1.150	1,435	11/05/2013
<b>Subtotal and Average</b>			<b>67,532,435.12</b>		<b>66,000,000.00</b>	<b>66,835,780.00</b>	<b>65,966,907.36</b>			<b>2.821</b>	<b>1,057</b>	

Portfolio City  
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**City of HB**  
**Portfolio Management**  
**Portfolio Details - Investments**  
**November 30, 2009**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Moody's	YTM 365	Days to Maturity	Maturity Date
<b>Local Agency Investment Funds</b>												
SYS982	982	Laif City			21,199,070.84	21,199,070.84	21,199,070.84	0.611	NR	0.611	1	
SYS2206	2206	LAIF-Redevelopment Agency			30,000,000.00	30,000,000.00	30,000,000.00	0.611	NR	0.611	1	
		<b>Subtotal and Average</b>	<b>49,889,070.84</b>		<b>51,199,070.84</b>	<b>51,199,070.84</b>				<b>0.611</b>	<b>1</b>	
<b>Medium Term Notes</b>												
06050BAJ0	3734	Bank of America FDIC Insured		04/30/2009	2,000,000.00	2,063,820.00	2,026,979.12	2.375	Aaa	1.830	934	06/22/2012
084664AF8	3475	Berkshire Hathaway Fin		01/17/2007	2,000,000.00	2,075,000.00	1,984,630.94	4.200	Aa	5.023	379	12/15/2010
17314AAF9	3723	Citigroup FDIC Insured		03/30/2009	2,000,000.00	2,038,000.00	1,995,820.54	2.000	Aaa	2.093	850	03/30/2012
17313YAB7	3739	Citigroup FDIC Insured		05/05/2009	2,000,000.00	2,021,640.00	1,999,585.94	1.375	Aaa	1.390	520	05/05/2011
36967HBB2	3805	GE Capital FDIC Insured		11/03/2009	2,000,000.00	2,036,740.00	2,015,357.19	2.000	Aaa	1.720	1,032	09/28/2012
36967HAN7	3733	General Electric Cap FDIC Insu		04/30/2009	2,000,000.00	2,051,320.00	2,024,343.60	2.250	Aaa	1.701	832	03/12/2012
36967HAHO	3735	General Electric Cap FDIC Insu		04/30/2009	2,000,000.00	2,049,280.00	2,017,052.90	2.200	Aaa	1.850	920	06/08/2012
36186CBF9	3763	GMAC FDIC Insured		06/30/2009	2,000,000.00	2,046,140.00	1,990,646.34	2.200	Aaa	2.361	1,114	12/19/2012
36185JAA7	3804	GMAC FDIC Insured		11/03/2009	2,000,000.00	2,020,860.00	1,998,090.96	1.750	Aaa	1.784	1,064	10/30/2012
38146FAF8	3732	Goldman Sachs FDIC Insured		04/27/2009	2,000,000.00	2,029,260.00	2,005,547.27	1.625	Aaa	1.450	591	07/15/2011
481247AK0	3738	JP Morgan Chase FDIC Insured		04/30/2009	2,000,000.00	2,053,660.00	2,021,139.80	2.200	Aaa	1.770	927	06/15/2012
49328CAA3	3770	Key Bank FDIC Insured		06/30/2009	2,000,000.00	2,103,980.00	2,058,856.45	3.200	Aaa	2.000	927	06/15/2012
61757UAF7	3736	Morgan Stanley FDIC Insured		04/30/2009	2,000,000.00	2,045,360.00	2,021,614.41	2.000	Aaa	1.390	660	09/22/2011
91160HAC1	3743	US Bank FDIC Insured		05/19/2009	1,000,000.00	1,017,200.00	1,003,335.54	1.800	Aaa	1.660	896	05/15/2012
		<b>Subtotal and Average</b>	<b>26,897,872.10</b>		<b>27,000,000.00</b>	<b>27,652,260.00</b>	<b>27,163,001.00</b>			<b>2.011</b>	<b>830</b>	
<b>Money Market Acct</b>												
SYS3802	3802	Union Bank - US Govt MMF		10/22/2009	2,851,025.19	2,851,025.19	2,851,025.19	0.050	Aaa	0.050	1	
SYS3789	3789	Union Bank - US Trea MMF			0.00	0.00	0.00	0.020	Aaa	0.020	1	
		<b>Subtotal and Average</b>	<b>2,503,490.18</b>		<b>2,851,025.19</b>	<b>2,851,025.19</b>	<b>2,851,025.19</b>			<b>0.050</b>	<b>1</b>	
		<b>Total and Average</b>	<b>146,822,868.24</b>		<b>147,050,096.03</b>	<b>148,538,136.03</b>	<b>147,180,004.39</b>			<b>1.849</b>	<b>627</b>	

**City of HB  
Portfolio Management  
Portfolio Details - Cash  
November 30, 2009**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	Moody's	YTM 365	Days to Maturity
		Average Balance	0.00	Accrued Interest at Purchase		26,938.89	26,938.89				0
		Subtotal				26,938.89	26,938.89				
		<b>Total Cash and Investments</b>	<b>146,922,868.24</b>		<b>147,050,096.03</b>	<b>148,565,074.92</b>	<b>147,206,943.28</b>			<b>1.849</b>	<b>627</b>

**City of HB**  
**Portfolio Management**  
**Activity By Type**  
**November 1, 2009 through November 30, 2009**

CUSIP	Investment #	Issuer	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Balance
<b>Federal Agency Issues - Coupon</b>							
3133XNCY3	3798	Federal Home Loan Bank	4.700	11/30/2009	0.00	1,068,323.60	
3133XVHT1	3800	Federal Home Loan Bank	1.750	11/10/2009	2,000,000.00	0.00	
3133XVHP9	3801	Federal Home Loan Bank	1.250	11/16/2009	2,000,000.00	0.00	
3128XK85	3266	Federal Home Loan Mort Corp	4.125	11/18/2009	0.00	2,000,000.00	
3136FH4U6	3776	Fed. Nat'l Mort. Assoc.	3.625	11/20/2009	0.00	2,000,000.00	
3136FJQF1	3803	Fed. Nat'l Mort. Assoc.	1.150	11/05/2009	2,000,000.00	0.00	
		<b>Subtotal</b>			<b>6,000,000.00</b>	<b>5,068,323.60</b>	<b>65,966,907.36</b>
<b>Local Agency Investment Funds (Monthly Summary)</b>							
SYS982	982	Laif City	0.611		9,600,000.00	15,200,000.00	
		<b>Subtotal</b>			<b>9,600,000.00</b>	<b>15,200,000.00</b>	<b>51,199,070.84</b>
<b>Medium Term Notes</b>							
36967HBB2	3805	GE Capital FDIC Insured	2.000	11/03/2009	2,015,780.00	0.00	
36185JAA7	3804	GIMAC FDIC Insured	1.750	11/03/2009	1,998,040.00	0.00	
		<b>Subtotal</b>			<b>4,013,820.00</b>	<b>0.00</b>	<b>27,163,001.00</b>
<b>Money Market Acct (Monthly Summary)</b>							
SYS3802	3802	Union Bank - US Gov't MMF	0.050		6,413,025.19	6,151,000.00	
		<b>Subtotal</b>			<b>6,413,025.19</b>	<b>6,151,000.00</b>	<b>2,851,025.19</b>
		<b>Total</b>			<b>26,026,845.19</b>	<b>26,419,323.60</b>	<b>147,180,004.39</b>

**City of HB  
Portfolio Management  
Activity Summary  
November 2008 through November 2009**

Month End	Year	Number of Securities	Average Balance	Yield to Maturity		Managed Pool Rate	Number of Investments Purchased	Number of Investments Redeemed	Average Term	Average Days to Maturity
				360 Equivalent	365 Equivalent					
November	2008	72	189,756,578.31	3.270	3.315	2.568	2	6	667	505
December	2008	77	200,279,167.62	3.040	3.082	2.355	12	7	638	487
January	2009	79	209,134,703.76	2.811	2.850	2.046	8	6	628	466
February	2009	74	207,184,404.24	2.676	2.713	1.869	1	6	585	417
March	2009	73	199,977,655.16	2.626	2.663	1.822	4	5	597	414
April	2009	83	203,124,393.45	2.393	2.427	1.607	14	4	637	457
May	2009	80	228,319,866.33	2.313	2.345	1.530	5	8	596	406
June	2009	77	221,774,096.95	2.109	2.138	1.377	27	30	590	414
July	2009	63	206,333,931.37	2.075	2.104	1.035	4	18	635	435
August	2009	53	185,785,442.19	1.748	1.772	0.925	16	26	616	451
September	2009	50	173,226,879.22	1.902	1.929	0.750	9	12	762	594
October	2009	49	150,346,976.41	1.888	1.914	0.646	1	2	788	600
November	2009	51	146,822,868.24	1.824	1.849	0.611	5	3	809	627
Average		68	193,994,475.52	2.360%	2.392%	1.472	8	10	658	483

**City of HB**  
**Portfolio Management**  
**Distribution of Investments By Type**  
**November 2008 through November 2009**

Security Type	November 2008	December 2008	January 2009	February 2009	March 2009	April 2009	May 2009	June 2009	July 2009	August 2009	September 2009	October 2009	November 2009	Average by Period
<b>Repurchase Agreements</b>														
<b>Certificates of Deposit</b>														
<b>Commercial Paper - Interest Bearing</b>														
Federal Agency Issues - Coupon	61.1	58.4	57.3	56.2	54.9	52.4	48.6	49.3	45.1	37.5	44.1	44.1	44.8	50.3%
Local Agency Investment Funds	28.3	28.6	26.9	29.4	29.6	22.6	26.7	27.1	31.2	31.1	38.9	38.5	34.8	30.3%
Treasury Securities - Coupon	3.2	2.9	2.9	3.0	3.0	2.7	2.7	2.7	3.1					2.0%
Medium Term Notes	1.0	0.9	0.9	1.0	2.0	7.3	8.5	10.5	12.1	12.7	15.0	15.7	18.5	8.2%
<b>Negotiable CD's</b>														
<b>Certificates of Deposit - Bank</b>														
<b>Mortgage Backed Securities</b>														
<b>Bankers Acceptances -Amortizing</b>														
Commercial Paper Disc. -Amortizing	4.2	8.1	10.9	9.3	9.4	9.5	8.0	6.8		3.3				5.3%
Federal Agency Disc. -Amortizing	2.3	1.1	1.1	1.2	1.2	5.6	5.5	3.8	7.9	15.4				3.5%
<b>Treasury Discounts -Amortizing</b>														
<b>Miscellaneous Discounts -Amortizing</b>														
Money Market Acct									0.5	2.0	2.0	1.8	1.9	0.5%
<b>Rolling Repurchase Agreements</b>														

**City of HB**  
**Portfolio Management**  
**Interest Earnings Summary**  
**November 30, 2009**

	November 30 Month Ending	Fiscal Year To Date
<b>CD/Coupon/Discount Investments:</b>		
Interest Collected	209,947.06	459,322.06
Plus Accrued Interest at End of Period	628,166.98	628,166.98
Less Accrued Interest at Beginning of Period	( 624,850.71)	( 662,384.47)
Less Accrued Interest at Purchase During Period	( 0.00)	( 0.00)
<b>Interest Earned during Period</b>	<b>213,263.33</b>	<b>425,104.57</b>
Adjusted by Premiums and Discounts	-3,996.26	-9,268.35
Adjusted by Capital Gains or Losses	-8,323.60	-7,306.77
<b>Earnings during Periods</b>	<b>200,943.47</b>	<b>408,529.45</b>
<b>Pass Through Securities:</b>		
Interest Collected	0.00	0.00
Plus Accrued Interest at End of Period	0.00	0.00
Less Accrued Interest at Beginning of Period	( 0.00)	( 0.00)
Less Accrued Interest at Purchase During Period	( 0.00)	( 0.00)
<b>Interest Earned during Period</b>	<b>0.00</b>	<b>0.00</b>
Adjusted by Premiums and Discounts	0.00	0.00
Adjusted by Capital Gains or Losses	0.00	0.00
<b>Earnings during Periods</b>	<b>0.00</b>	<b>0.00</b>
<b>Cash/Checking Accounts:</b>		
Interest Collected	25.19	134,837.58
Plus Accrued Interest at End of Period	59,642.99	59,642.99
Less Accrued Interest at Beginning of Period	( 34,511.41)	( 136,845.63)
<b>Interest Earned during Period</b>	<b>25,156.77</b>	<b>57,634.94</b>
<b>Total Interest Earned during Period</b>	<b>238,420.10</b>	<b>482,739.51</b>
<b>Total Adjustments from Premiums and Discounts</b>	<b>-3,996.26</b>	<b>-9,268.35</b>
<b>Total Capital Gains or Losses</b>	<b>-8,323.60</b>	<b>-7,306.77</b>
<b>Total Earnings during Period</b>	<b>226,100.24</b>	<b>466,164.39</b>



## Statement of Cash Receipts, Disbursements, and Investment Fund Balances

Office of the City Treasurer  
November 2009

<u>Cash Receipts and Disbursements</u>	<u>October 2009</u>	<u>November 2009</u>
<b>Receipts</b>		
Property Tax Receipts	\$430,122	\$4,024,685
Utility Tax Receipts (UUT)	1,722,622	1,731,601
Sales Tax Receipts	1,321,500	1,497,700
Highway Users Tax Receipts (HUT)	1,119,719 (1)	0 (2)
Transient Occupancy Tax Receipts (TOT)	446,966	411,281
All Other Receipts	14,748,297	14,707,707
<b>Disbursements</b>		
Other Disbursements	<u>-\$28,377,115</u>	<u>-\$23,412,519</u>
<b>Net Change in Cash Flow</b>	<b>-\$8,587,889</b>	<b>-\$1,039,545</b>
<b><u>Summary of Cash by Fund</u></b>	<b><u>October 2009</u></b>	<b><u>November 2009</u></b>
General Fund	\$17,772,816	\$19,193,439
Government Funds	772,468	944,573
Capital Funds	16,264,216	16,302,765
Debt Service Funds	2,068,025	2,324,996
Enterprise Funds	92,300,830	93,366,750
Fiduciary Funds	2,124,251	567,686
Special Revenue Funds	<u>17,060,276</u>	<u>15,054,235</u>
	<b>\$148,362,882 *</b>	<b>\$147,754,444 *</b>

(1) The State of California paid in October 2009 the July, August, September and October HUT.

(2) The State of California is deferring HUT receipts from November to March until April 2010.

\* Total cash will differ from investment portfolio total due to outstanding checks and/or other timing differences.

Note: Above information was obtained from the City's accounting system records. The above information includes receipts from maturing investments and payments for purchased investments in the city investment portfolio. This statement is prepared in compliance with the City's Charter.

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# **ATTACHMENT #2**

# Schedule of Bond Investments

Held by Fiscal Agent as of October 31, 2009

## Summary of investments by type

Par Value	Investment Type	Market Value as of 9/30/09	Market Value as of 10/31/09
\$3,602,140	Local Agency Investment Fund (LAIF)	\$3,593,965	\$3,602,140
\$0	Federal Agency Issues	\$0	\$0
\$6,797,204	Investment Agreements	\$6,797,204	\$6,797,204
\$3,261,509	Money Market Funds	\$2,911,095	\$3,261,509
\$0	US Treasury Securities	\$0	\$0
<b>\$13,660,853</b>		<b>\$13,302,264</b>	<b>\$13,660,853</b>

## Summary of Investments by Bond Issue

Par value	Bond Issue Description	Market Value as of 9/30/09	Market Value as of 10/31/09
\$1,159	City of Huntington Beach - 2004 Judgment Obligation Bonds (Property Tax Refunds)	\$0	\$1,159
\$2,199,773	City of Huntington Beach - 2003-1 Community Facilities District (Huntington Center - Bella Terra)	\$2,196,723	\$2,199,773
\$2,140,825	Redevelopment Agency of Huntington Beach - 2002 Tax Allocation Refunding Bonds	\$1,649,396	\$2,140,825
\$508,369	Huntington Beach Community Facilities District No. 2002-1 (McDonnell Centre Business Park)	\$509,138	\$508,369
\$2,043,347	Huntington Beach PFA - 2001 Series A (South Beach Improvements & Central Park Sports Complex)	\$2,043,344	\$2,043,347
\$2,621,231	Huntington Beach PFA - 2001 Series B (1993 Civic Center & Police Administration Bldg. Refinance)	\$2,621,126	\$2,621,231
\$1,260,332	City of Huntington Beach - 2001 Community Facilities District (Grand Coast-Hyatt)	\$1,262,158	\$1,260,332
\$172,794	City of Huntington Beach - 90-1 Community Facilities District Special Tax Refunding	\$308,171	\$172,794
\$1,394,255	Huntington Beach PFA - 2000 Series A (Water System Impv., Beach Mnt. Facility, Energy Retrofit, SB Phase I)	\$1,394,200	\$1,394,255
\$758,452	Redevelopment Agency of Huntington Beach - 1999 Tax Allocation Refunding Bonds	\$756,729	\$758,452
\$560,316	Huntington Beach Public Finance Authority - Lease Revenue Bonds, 1997 Public Facilities Project	\$560,315	\$560,316
\$0	City of Huntington Beach - Multi-Family Mortgage Revenue Refunding Bonds (Huntington Breakers)	\$964	\$0
\$0	HB Public Finance Authority 1992 Revenue Bonds	\$0	\$0
<b>\$13,660,853</b>		<b>\$13,302,264</b>	<b>\$13,660,853</b>

# **ATTACHMENT #3**

**City of Huntington Beach**  
**Deferred Compensation Plan Summary Information**  
**as of September 30, 2009**

	Beginning Balance (6-30-09)	Contributions	Distributions/ Transfers	Earnings (change in value)	Other Fees/ Adjustments	Ending Balance (9-30-09)
<b>ICMA Retirement Corporation (457 Plan)</b>	\$23,466,863	\$634,209	(\$387,899)	\$2,018,497	(\$741)	\$25,730,929
<b>ICMA Retirement Corporation (401 Plan)</b>	\$892,470	\$22,628	\$0	\$67,964	\$0	\$983,062
<b>Nationwide Retirement Solutions (457 Plan)*</b>	\$47,486,774	\$653,985	(\$515,867)	\$3,587,644	(\$400)	\$51,212,136
<b>Total Deferred Compensation Plan Balances</b>	\$69,976,764	\$1,310,822	(\$903,766)	\$5,674,105	(\$1,141)	\$76,056,784

# **ATTACHMENT #4**

City of Huntington Beach  
 Retiree Medical Trust Information  
 as of September 30, 2009

Beginning Balance (6-30-09)	Contributions	Distributions/ Transfers	Earnings (change in value)	Other	Ending Balance (9-30-09)
\$6,765,415			\$1,165,277	(\$577)	\$7,930,115

**CalPERS Retiree Medical Trust Account**



# **ATTACHMENT #5**

City of Huntington Beach  
 Supplemental Pension Trust Information  
 as of September 30, 2009

Beginning Balance (6-30-09)	Contributions/ Dividends	Distributions/ Transfers	Earnings (change in value)	Other	Ending Balance (9-30-09)
\$0	\$2,813	\$24,917,730	(\$11,921)	\$43	\$24,908,665
<b>Supplemental Pension Trust Account</b>					

# **ATTACHMENT #6**

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**Treasurer's Report - Shari L. Freidenrich, CPA, CCMT, CPFA, CPFIM**

# **November Treasurer's Report**



**Shari L. Freidenrich, CPA, CCMT, CPFA, CPFIM**  
**January 19, 2010**

**Treasurer's Report - Shari L. Freidenrich, CPA, CCMT, CPFA, CPFIM**

**\$ in 000's**

**Financial Information:**

End of Month City Portfolio	October	November Inc./(Dec.)
End of Month Market Value	\$147.576	\$147.180 (\$0.396)
Interest Earned for Month	\$148.662	\$148.538 (\$0.124)
Earned Interest Yield Year to Date	\$0.240	\$0.226 (\$0.014)
Average Maturity ( in days)	1.88%	1.87% (0.10%)
YTD Average Daily Balance	600	627
	\$150.347	\$148.614 (\$1.733)

**\$ in 000's**

Receipts Thru November 09	Change From		YTD Change		Bgt. Change	
	Same Month	%	From Last	%	From Prior	Yr. Receipts
	Last Year		Year			
Property Tax Receipts (Total)	(\$430)	(9.7%)	(\$1,120)	(13.3%)		1.4%
Property Tax Receipts (GF)	(\$429)	(11.7%)	(\$452)	(8.0%)		(1.1%)
1% Sales Tax Receipts	(\$542)	(26.6%)	(\$3,327)	(15.1%)		5.5%
Utility Users Tax	(\$114)	(6.2%)	(\$114)	(6.2%)		5.1%
Transient Occupancy Tax	(\$31)	(6.9%)	(\$31)	(6.9%)		(0.4%)
Highway Users Tax *	\$18	6.7%	\$18	6.7%		(72.4%)
Real Property Transfer Tax	\$23	39.8%	\$23	39.8%		(25.3%)
Foreclosures	(12)	(42.8%)	(24)	(40.0%)		N/A

\* HUT receipts from November - March are being deferred by the state until April 2010.

Green Difference between YTD % and Budget % is equal to or greater than 0 %  
 Yellow Difference between YTD % and Budget % is between (5%) and 0 %  
 Red Difference between YTD % and Budget % is greater than (5%)